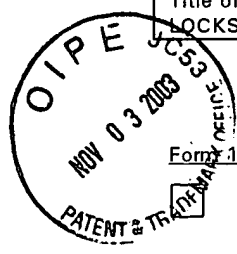


3722

TRANSMITTAL LETTER			Case No. 5658/934
Serial No. 10/629,959	Filing Date July 30, 2003	Examiner Not Yet Assigned	Group Art Unit 3722
Inventor(s) David J. Trettin, Richard M. Davidian, Laverne Durfee, and Kristofer M. Hallee			
Title of Invention LOCKSET DRILLING GUIDE			



TO THE COMMISSIONER FOR PATENTS

Transmitted herewith are Transmittal Letter (in duplicate); Information Disclosure Statement (in duplicate); PTO Form 1449; Two (2) References; and Postcard evidencing receipt.

☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

☐ Applicant claims small entity status. See 37 CFR 1.27.

☐ Petition for a \_\_\_\_\_ month extension of time.

☒ No additional fee is required.

☐ The fee has been calculated as shown below:

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	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$84 =	
+ \$280 =	
Total add'l fee	\$

☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*Heidi A. Dare*

Heidi A. Dare  
Registration No. 50,775  
Attorney for Applicant  
Customer No. 00757 - Brinks Hofer Gilson Lione

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 30, 2003.

Date: October 30, 2003

Signature: *Heidi A. Dare*

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on



October 30, 2003

Date of Deposit

Heidi A. Dare, Reg. No. 50, 775

Name of Applicant, Assignee or  
Registered Representative

Signature

October 30, 2003

Date of Signature

**RECEIVED**

**NOV 06 2003**

**TECHNOLOGY CENTER R3700**

Our Case No.: 5658/934

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Trettin et al.

Serial No.: 10/629,959

Filing Date: July 30, 2003

For: LOCKSET DRILLING GUIDE

Examiner: Not Yet Assigned

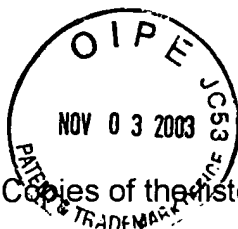
Group Art Unit No.: 3722

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and



made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

**UNITED STATES PATENT DOCUMENTS**

**RECEIVED**

**NOV 06 2003**

**TECHNOLOGY CENTER R3700**

No.	Patent No.	Patent Date	Name
A1	996,597	June 27, 1911	H.L. Cooper
A2	2,798,520	July 9, 1957	J.M. Maskulka et al.
A3	3,008,359	November 14, 1961	B.A. Mackey
A4	3,212,366	October 19, 1965	F.J. Russell et al.
A5	4,331,411	May 25, 1982	C. Kessinger et al.
A6	4,280,776	July 28, 1981	P.C. Chaconas et al.
A7	4,715,125	December 29, 1987	L.R. Livick
A8	4,813,826	March 21, 1989	R.R. Riedel
A9	5,114,285	May 19, 1992	M.K. Brydon
A10	5,222,845	June 29, 1993	S.M. Goldstein et al.
A11	5,569,001	October 29, 1996	D.T. Brutscher et al.
A12	5,573,352	November 12, 1996	J. Matadobra
A13	5,762,115	June 9, 1998	G.A. Shouse
A14	5,791,834	August 11, 1998	R.E. Zehrung
A15	US 6,193,449 B1	February 27, 2001	A. Diaz
A16	US 6,343,632 B1	February 5, 2002	Z. Zivojinovic
A17	US 6,398,465 B1	June 4, 2002	V. Monge

**FOREIGN PATENT DOCUMENTS**

No.	Patent No.	Patent Date	Name	Country
A18	GB 2 219 763 A	March 21, 1989	B. O'Mara et al.	United Kingdom
A19	GB 2 229 391 A	October 23, 1989	B. O'Mara et al.	United Kingdom

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



---

Heidi A. Dare  
Registration No. 50,775  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



FORM PTO-1449	SERIAL NO. 10/629,959	CASE NO. 5658/934
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE July 30, 2003	GROUP ART UNIT 3722
(use several sheets if necessary)		APPLICANT(S): Trettin et al.

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	996,597	06-27-1911	H.L. Cooper	Unknown	02-16-1911
	A2	2,798,520	07-09-1957	J.M. Maskulka et al.	145/129	03-02-1955
	A3	3,008,359	11-14-1961	B.A. Mackey	77/62	02-25-1959
	A4	3,212,366	10-19-1965	F.J. Russell et al.	77/62	03-08-1963
	A5	4,331,411	05-25-1982	C. Kessinger et al.	408/97	05-19-1980
	A6	4,280,776	07-28-1981	P.C. Chaconas et al.	408/72 R	03-07-1979
	A7	4,715,125	12-29-1987	L.R. Livick	33/197	12-08-1986
	A8	4,813,826	03-21-1989	R.R. Riedel	408/108	01-11-1988
	A9	5,114,285	05-19-1992	M.K. Brydon	408/115 R	03-04-1991
	A10	5,222,845	06-29-1993	S.M. Goldstein et al.	408/103	06-22-1992
	A11	5,569,001	10-29-1996	D.T. Brutscher et al.	408/115 R	06-14-1995
	A12	5,573,352	11-12-1996	J. Matadobra	409/132	08-08-1994
	A13	5,762,115	06-09-1998	G.A. Shouse	144/144.51	02-25-1997
	A14	5,791,834	08-11-1998	R.E. Zehrunge	408/1 R	04-25-1997
	A15	US 6,193,449 B1	02-27- 2001	A. Diaz	408/115 R	10-22-1999
	A16	US 6,343,632 B1	02-05-2002	Z. Zivojinovic	144/27	PCT 09-10-1998
	A17	US 6,398,465 B1	06-04-2002	V. Monge	408/1 R	09-07-2000

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A18	GB 2 219 763 A	03-21-1989	United Kingdom	(Edition J) B4X X2F U1S S1715	Yes
	A19	GB 2 229 391 A	10-23-1989	United Kingdom	(Edition K) B4X X2F U1S S1715	Yes

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city / state / country where published.</small>

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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.